



Planar graphs without adjacent cycles of length at most seven are 3-colorable

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ABSTRACT

We prove that every planar graph in which no i -cycle is adjacent to a j -cycle whenever $3 \leq i \leq j \leq 7$ is 3-colorable and pose some related problems on the 3-colorability of planar graphs.

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1. Introduction

In 1976, Appel and Haken proved that every planar graph is 4-colorable [3,4], and as early as 1959, Grötzsch [13] proved that every planar graph without 3-cycles is 3-colorable. As proved by Garey, Johnson and Stockmeyer [12], the problem of deciding whether a planar graph is 3-colorable is NP-complete. Therefore, some sufficient conditions for planar graphs to be 3-colorable were stated. In 1976, Steinberg [19] raised the following:

Steinberg's conjecture '76. Every planar graph without 4- and 5-cycles is 3-colorable.

In 1969, Havel [14] posed the following problem:

Havel's problem '69. Does there exist a constant C such that every planar graph with the minimum distance between triangles at least C is 3-colorable?

Havel [15,16] proved that if C exists, then $C \geq 2$, which was improved to $C \geq 4$ by Aksionov and Mel'nikov [2] and, independently, by Steinberg (see [2]).

These two challenging problems remain open. In 1991, Erdős suggested the following *relaxation of Steinberg's Conjecture*: Determine the smallest value of k , if it exists, such that every planar graph without cycles of length from 4 to k is 3-colorable. Abbott and Zhou [1] proved that such a k does exist, with $k \leq 11$. This result was later on improved to $k \leq 10$ by Borodin [5] and to $k \leq 9$ by Borodin [6] and Sanders and Zhao [18]. The best known bound for such a k is 7, and it was proved by Borodin, Glebov, Raspaud, and Salavatipour [10]:

Theorem 1 ([10]). *Every planar graph without cycles of length from 4 to 7 is 3-colorable.*

At the crossroad of Havel's and Steinberg's problems, Borodin and Raspaud [11] proved that every planar graph without 3-cycles at distance less than four and without 5-cycles is 3-colorable. (The distance here was improved to three by Borodin and Glebov [7] and Xu [20], and recently it was decreased to two by Borodin and Glebov [8].) Furthermore, Borodin and Raspaud [11] proposed the following conjecture:

Strong Bordeaux conjecture '03. Every planar graph without 5-cycles and without adjacent triangles is 3 colorable.

By adjacent cycles we mean those with at least one edge in common.

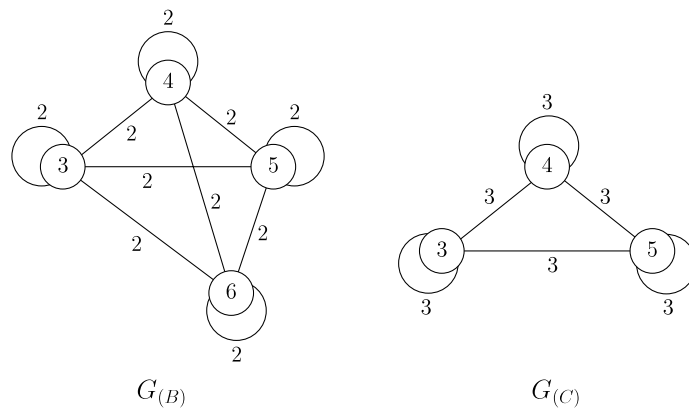
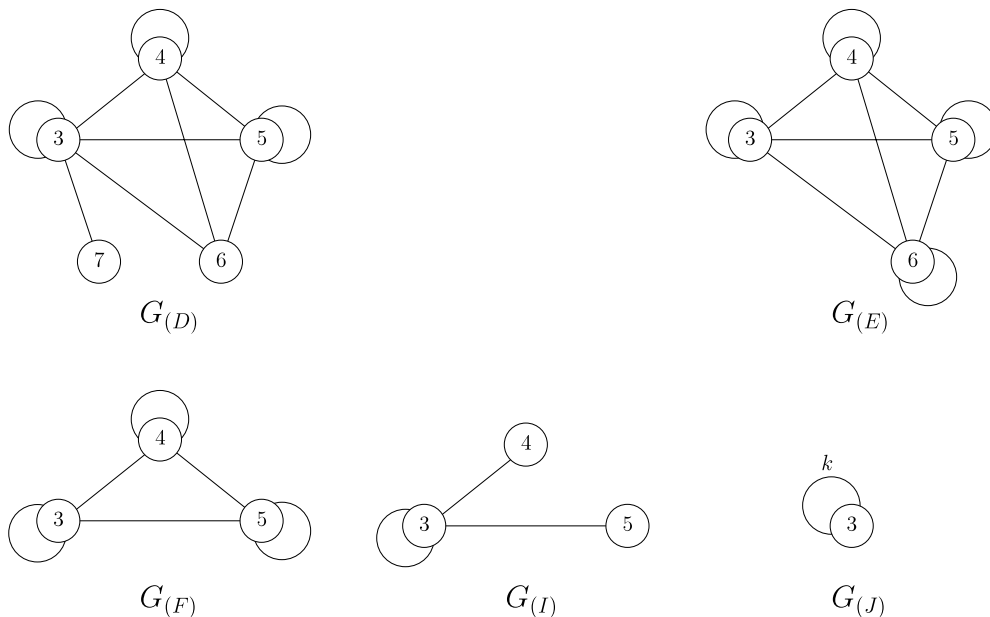
Fig. 2. The non-adjacency graphs $G_{(B)}$ and $G_{(C)}$.

Fig. 3. Some non-adjacency graphs.

2. Proof of Theorem 2

We first present some notations:

Notations. Let $G = (V(G), E(G), F(G))$ be a plane graph, where $V(G)$, $E(G)$ and $F(G)$ denote the sets of vertices, edges and faces of G , respectively. The neighbor set and the degree of a vertex v are denoted by $N(v)$ and $d(v)$, respectively. Let f be a face of G . We use $b(f)$, $V(f)$ to denote the boundary of f , the set of vertices on $b(f)$, respectively. A k -vertex (respectively $\geq k$ -vertex, $\leq k$ -vertex) is a vertex of degree k (respectively at least k , at most k). The same notation is used for faces and cycles: A k -face (respectively $\geq k$ -face, $\leq k$ -face) is a face of length k (respectively at least k , at most k). Let C be a cycle of G . By $\text{int}(C)$ and $\text{ext}(C)$ we denote the sets of vertices located inside and outside C , respectively. The cycle C is a *separating cycle* if both $\text{int}(C) \neq \emptyset$ and $\text{ext}(C) \neq \emptyset$. Let C be a cycle of G , and let u and v be two vertices on C . We use $C[u, v]$ to denote the path of C clockwise from u to v , and let $C(u, v) = C[u, v] \setminus \{u, v\}$.

Our proof is based on the following coloring extension lemma:

Lemma 1. Suppose G is a connected planar graph respecting $G_{(A)}$ depicted by Fig. 1 and f_0 is an i -face with $3 \leq i \leq 11$; then every proper 3-coloring of $G[V(f_0)]$ (the subgraph of G induced by the vertices of f_0) can be extended to the whole G .

It is easy to see that Lemma 1 implies Theorem 2. Indeed, let G be a minimal counterexample to Theorem 2; clearly, G is connected. If G contains a triangle C_3 , we assign colors to the vertices of C_3 and apply Lemma 1 to $G \setminus \text{int}(C_3)$ and to $G \setminus \text{ext}(C_3)$. If G does not contain triangles, then G is 3-colorable by Grötzsch's Theorem [13].

So, it suffices to prove [Lemma 1](#). Note that our proof of [Lemma 1](#) is built on the following result by Borodin, Glebov, Raspaud, and Salavatipour [[10](#)]:

Theorem 4. Every proper 3-coloring of the vertices of any face of length 8 to 11 in a connected planar graph without cycles of length 4 to 7 can be extended to a proper 3-coloring of the whole graph.

By \mathcal{G} denote the set of plane graphs that respects $G_{(A)}$ depicted in [Fig. 1](#).

Assume that G is a counterexample to [Lemma 1](#) with:

- (1) $c(G) = c_4(G) + c_5(G) + c_6(G) + c_7(G)$ as small as possible, and
- (2) $\sigma(G) = |V(G)| + |E(G)|$ minimum under the previous condition

where $c_i(G)$ denotes the number of cycles of length i in G .

Without loss of generality, assume that the unbounded face f_0 is an i -face with $3 \leq i \leq 11$ such that a 3-coloring ϕ of $G[V(f_0)]$ cannot be extended to G . Let $C_0 = b(f_0)$. All faces different from f_0 are called *internal*.

Claim 1. G is 2-connected; hence, the boundary of every face is a cycle.

Proof. Observe first that, by the minimality of G , there is no cut-vertex in $V(f_0)$. Now assume that B is a pendant block with the cut-vertex $v \in V(G) \setminus V(f_0)$. We first extend ϕ to $G \setminus (B \setminus v)$, then we color B with 3 colors by the minimality of G or Grötzsch's Theorem, permute the colors if necessary, and finally get an extension of ϕ to G . \square

Claim 2. $\forall v \in \text{int}(C_0), d(v) \geq 3$.

Proof. Let v be an ≤ 2 -vertex with $v \in \text{int}(C_0)$. We can first extend ϕ to $G \setminus v$ and then color v . \square

Claim 3. G contains no separating k -cycles with $3 \leq k \leq 11$.

Proof. Let C be a separating cycle of length from 3 to 11. By the minimality of G , we can extend ϕ to $G \setminus \text{int}(C)$. Then we extend the 3-coloring of $G[V(C)]$ to $G \setminus \text{out}(C)$ using the minimality of G . \square

Claim 4. $G[V(f_0)]$ is a chordless cycle.

Proof. Let uv be a chord of C_0 . Then by the minimality of G , we can extend ϕ to $G \setminus uv$ and so to G . \square

Claim 5. $|f_0| \neq 4, 5, 6, 7$.

Proof. Let $C_0 = x_1x_2 \dots x_k$ with $4 \leq k \leq 7$. Let G' be the graph obtained from G by adding $8 - k$ vertices of degree two on the edge x_1x_2 . Then observe that $c(G') < c(G)$ and $G' \in \mathcal{G}$. By choosing some good colors to the added vertices, we can extend the coloring of the outer face of G' to the whole graph G' by the minimality of G . This yields a proper 3-coloring of G , a contradiction. \square

Now we show that G contains no internal k -faces with $4 \leq k \leq 7$. Due to [Claim 3](#) and the cycles' adjacency conditions, every k -cycle with $4 \leq k \leq 7$ bounds a face. This will show that G contains no k -cycles with $4 \leq k \leq 7$. Finally, [Theorem 1](#) or [Theorem 4](#) will complete the proof of [Lemma 1](#).

Claim 6. G contains no internal 4-faces.

Proof. Assume that G contains an internal 4-face $f = x_1x_2x_3x_4$ (the x_i 's appear clockwise on f) and $C_f = b(f)$.

Let G' be the graph obtained from G by identifying x_1 and x_3 . Let x' be the obtained vertex. Firstly, we will show that $G' \in \mathcal{G}$ and $c(G') < c(G)$. Secondly, we will show that the identification does not damage the precoloring of G' induced by the precoloring of $G[V(f_0)]$ in G . Hence by minimality of G , the precoloring of G' will be extendable to whole G' and so it will be for the precoloring of $G[V(f_0)]$ in G , a contradiction (since a 3-coloring of G' gives a 3-coloring of G by assigning the color of x' to x_1 and to x_3).

We show now that $G' \in \mathcal{G}$.

Observation 1. (1) Let u and v be two adjacent vertices on f . Let P_{uv} be a shortest path between u and v in $G \setminus \{x_1x_2, x_2x_3, x_3x_4, x_4x_1\}$ (if any). By the cycles' adjacency conditions, the path $P_{u,v}$ has at least 8 vertices.

(2) Let u and v be two non-adjacent vertices on f . Let P_{uv} be a shortest path between u and v in $G \setminus \{x_1x_2, x_2x_3, x_3x_4, x_4x_1\}$ (if any). By [Claim 3](#), the path $P_{u,v}$ has at least 11 vertices.

We first show that the identification does not create a ≤ 7 -cycle. Suppose to the contrary that C^* is a ≤ 7 -cycle in G' created by the identification. The cycle C^* must go through at least two vertices of x_1, \dots, x_4 (otherwise, its length cannot decrease

by the identification). If C^* goes through two adjacent vertices u and v on f , then C^* is composed of a path $P_{u,v}$ of length at least 8, contradicting the size of C^* (by [Observation 1.1](#)). If C^* goes through two non-adjacent vertices u and v on f , then C^* is composed of a path $P_{u,v}$ of length at least 10, contradicting the size of C^* (by [Observation 1.2](#)). Hence the identification does not create ≤ 7 -cycles. Moreover the identification does not create an adjacency between two ≤ 7 -cycles of G , since by hypothesis, f (bounded by a 4-cycle) is not adjacent to ≤ 7 -cycles. It follows that $G \in \mathcal{G}$. Finally observe that $c(G') < c(G)$ (G' contains one 4-cycle less).

Let f'_0 be the outer face of G' . We now show that the precoloring ϕ' of $G'[V(f'_0)]$ in G' induced by the precoloring of $G[V(f_0)]$ in G is well defined, i.e. by the identification of x_1 and x_3 of f , we do not identify two precolored vertices having different colors or create an edge between two precolored vertices having the same color. We consider the different cases according $C_0 \cap C_f$:

- (1) If all the vertices of f are inner vertices, we are done.
- (2) Assume that $|C_0 \cap C_f| = 1$. Assume that $C_0 \cap C_f = \{x_1\}$ (by renaming the vertices of f is necessary). Observe that x_3 has no neighbor on C_0 (otherwise, there exists a separating ≤ 11 -cycle that separates x_2 or x_4 , contradicting [Claim 3](#)). Hence, the identification of x_1 and x_3 does not damage the precoloring of $G'[V(f'_0)]$.
- (3) Assume that $|C_0 \cap C_f| = 2$. Observe that if $C_0 \cap C_f = \{x_1, x_3\}$ or $\{x_2, x_4\}$, then the cycles' adjacency conditions implies that $|f_0| \geq 12$, contradicting the hypothesis. Assume that $C_0 \cap C_f = \{x_1, x_4\}$. Observe now that x_2 and x_3 cannot have both one neighbor on C_0 different from x_1 and x_4 respectively (otherwise, by the cycles' adjacency conditions, $|f_0| > 11$). Hence if x_3 has no neighbor on C_0 different from x_4 , the identification of x_1 and x_3 does not damage the precoloring of $G'[V(f'_0)]$. Now, if x_3 has a neighbor on C_0 , then x_2 has no neighbor on C_0 different from x_1 . Hence instead of identifying x_1 and x_3 , we identify x_2 and x_4 (that corresponds to rename the vertices of f) and we are done.
- (4) Assume that $|C_0 \cap C_f| = 3$. By the cycles' adjacency conditions, $C_0 \cap C_f$ is a set of consecutive vertices on C_0 . Assume that $C_0 \cap C_f = \{x_4, x_1, x_2\}$ (by renaming the vertices of f if necessary). By the cycles' adjacency conditions, the vertex x_3 has no neighbor on C_0 different from x_2 and x_4 . Hence, the identification of x_1 and x_3 does not damage the precoloring of $G'[V(f'_0)]$.
- (5) Assume that $|C_0 \cap C_f| = 4$. Since $G[V(f_0)]$ is chordless, this implies that $f_0 = f$, contradicting [Claim 5](#).

It follows that, by minimality of G , the precoloring of $G'[V(f'_0)]$ can be extended to the whole graph G' . This gives an extension of the precoloring of $G[V(f_0)]$ to G (by assigning to x_1 and x_3 the color of x'), a contradiction that completes the proof. \square

Using similar arguments one can prove:

Claim 7. G contains no internal i -faces with $i = 5, 6$.

Sketch of the proof. Assume that G contains a face $f = x_1x_2x_3x_4x_5$ (resp. $f = x_1x_2x_3x_4x_5x_6$) and $C_f = b(f)$. We identify x_1 and x_3 (resp. x_1 and x_4) and let x' be the obtained vertex. Let G' be the graph obtained from G after the identification. Firstly, using the cycles' adjacency conditions and [Claim 3](#), one can prove that the identification does not create a ≤ 7 -cycle except $x'x_4x_5$ (resp. $x'x_2x_3$ and $x'x_5x_6$) and does not create an adjacency between two ≤ 7 -cycles. Moreover $c(G') < c(G)$ since G' contains one 5-cycle less (resp. one 6-cycle less). Secondly, one can prove that the identification does not violate the precoloring ϕ' of $G'[V(f'_0)]$ (where f'_0 is the outer face of G') induced by the precoloring ϕ of $G[V(f_0)]$ by checking the different cases according to $C_0 \cap C_f$. If all the vertices of f are inner vertices, we are done. By the cycles' adjacency conditions, $C_0 \cap C_f$ is a set of consecutive vertices on C_0 . Now, if $|C_0 \cap C_f| \leq 3$, then we can rename the vertices of f such that by the cycles' adjacency conditions and [Claim 3](#), the identification of x_1 and x_3 (resp. x_1 and x_4) does not damage the ϕ' . Consider $|f| = 5$. Now, if $|C_0 \cap C_f| = 4$ and suppose $C_0 \cap C_f = \{x_4, x_5, x_1, x_2\}$ (by renaming the vertices of f if necessary), then by the cycles' adjacency conditions, the vertex x_3 has no neighbor on C_0 different from x_2 and x_4 . Let $C'' = C_0[x_2, x_4] \cup x_4x_3x_2$. By [Claim 2](#), x_3 has a neighbor in $\text{int}(C'')$ and $\text{out}(C'') \neq \emptyset$. It follows by [Claim 3](#) that $|C''| \geq 12$ and so $|f_0| > 11$, a contradiction. Finally if $|C_0 \cap C_f| = 5$, then, since $G[V(f_0)]$ is chordless, this implies that $f_0 = f$, contradicting [Claim 5](#). The same arguments work when $|f| = 6$. \square

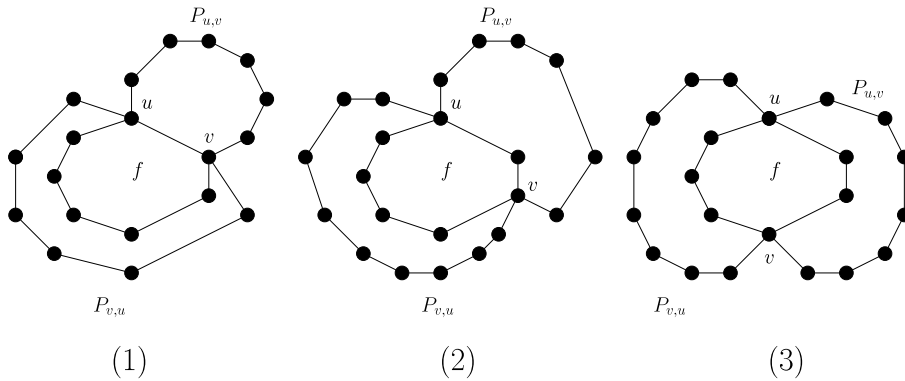
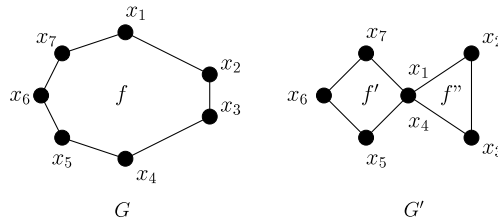
Claim 8. G contains no internal 7-faces.

Proof. Assume that it exists an internal 7-face. Let $f = x_1x_2x_3x_4x_5x_6x_7$ be such an internal 7-face (the x_i 's appear in the clockwise order on f) and $C_f = b(f)$.

Observation 2. Let u, v two vertices of $V(f)$. Let $P_{u,v}$ be an elementary path linking u and v such that $P_{u,v} \cap V(f) = \{u, v\}$ and $C_f(u, v) \in \text{int}(P_{u,v} \cup C_f[v, u])$. Let $P_{v,u}$ be a path linking u and v such that $P_{v,u} \cap V(f) = \{u, v\}$ and $C_f(v, u) \in \text{int}(P_{v,u} \cup C_f[u, v])$ (see [Fig. 4](#)). It may happen that $P_{u,v}$ or/and $P_{v,u}$ does not exist.

By the cycles' adjacency conditions or by [Claim 3](#), three cases can occur according to the distance of u and v on C_f :

- If u and v are adjacent on C_f (see [Fig. 4.\(1\)](#)), the paths $P_{u,v}$ and $P_{v,u}$ have at least 8 vertices since there is no 7-cycle adjacent to ≤ 7 -cycles.
- If u and v are at distance two on C_f (see [Fig. 4.\(2\)](#)), the paths $P_{u,v}$ and $P_{v,u}$ have at least 8 vertices and 11 vertices, respectively; since otherwise $P_{u,v} \cup C_f[v, u]$ (or $C_f[u, v] \cup P_{v,u}$) is a separating ≤ 11 -cycle.

Fig. 4. The paths $P_{u,v}$ and $P_{v,u}$.Fig. 5. The identification of x_1 with x_4 .

- If u and v are at distance three on C_f (see Fig. 4(3)), the paths $P_{u,v}$ and $P_{v,u}$ have at least 9 vertices and 10 vertices, respectively; since otherwise $P_{u,v} \cup C_f[v, u]$ (or $C_f[u, v] \cup P_{v,u}$) is a separating ≤ 11 -cycle.

Let G' be the graph obtained from G by identifying x_1 with x_4 , see Fig. 5. Let x' be the identified vertex.

We will show that this identification does not create ≤ 7 -cycles, except $C_{f'} = x_1x_5x_6x_7$ and $C_{f''} = x_1x_2x_3$, which are a 4-cycle and a 3-cycle, respectively.

Suppose to the contrary that C^* is a ≤ 7 -cycle in G' created by the identification of x_1 and x_4 in G , different from $C_{f'}$ and $C_{f''}$. By $l(x, y)$ denote the distance between the vertices x and y in $(V(G), E(G) \setminus E(f))$, where $E(f)$ denotes the set of edges of f . The cycle C^* must go through at least two vertices of x_1, \dots, x_7 (otherwise, its length cannot decrease by the identification). Suppose that C^* goes through x and y two vertices of C_f . Note that $l(x, y)$ is well defined and $|C^*| \geq l(x, y) + 1$, unless $\{x, y\} = \{x_1, x_4\}$. Moreover by Observation 2, $l(x, y) \geq 7$. Thus $|C^*| \geq 8$ unless $\{x, y\} = \{x_1, x_4\}$ and $l(x, y) = 7$. But in this case, Observation 2 ensures that $l(x, y) \geq 8$, a contradiction.

Hence, such a cycle C^* cannot exist. The identification does not create ≤ 7 -cycles; moreover, by the cycles' adjacency conditions, f is not adjacent to ≤ 7 -cycles; so it is for f' and f'' . It follows that the identification does not create a ≤ 7 -cycle adjacent to a ≤ 7 -cycle. This implies that $G' \in \mathcal{G}$.

Let f'_0 be the outer face of G' . We now show that the precoloring ϕ' of $G'[V(f'_0)]$ in G' induced by the precoloring of $G[V(f_0)]$ in G is well defined, i.e. by the identification of x_1 and x_4 of f , we do not identify two precolored vertices having different colors or create an edge between two precolored vertices having the same color.

By the cycles' adjacency conditions, one can observe that if $C_0 \cap C_f \neq \emptyset$, then $C_0 \cap C_f$ is a set of consecutive vertices on C_0 .

We consider the different cases according $C_0 \cap C_f$:

- (1) If all the vertices of f are inner vertices, we are done.
- (2) Assume that $|C_0 \cap C_f| \leq 4$. Suppose that $|C_0 \cap C_f| = 1$ and $C_0 \cap C_f = \{x_1\}$ (by renaming the vertices of f is necessary) (resp. $|C_0 \cap C_f| = 2$ with $C_0 \cap C_f = \{x_7, x_1\}$, $|C_0 \cap C_f| = 3$ with $C_0 \cap C_f = \{x_7, x_1, x_2\}$, $|C_0 \cap C_f| = 4$ with $C_0 \cap C_f = \{x_6, x_7, x_1, x_2\}$). Observe that x_4 has no neighbor on C_0 by Claim 3. Hence, the identification of x_1 and x_4 does not damage the precoloring of $G'[V(f'_0)]$.
- (3) Assume that $|C_0 \cap C_f| = 5$. Assume that $C_0 \cap C_f = \{x_6, x_7, x_1, x_2, x_3\}$. Observe that, by the cycles' adjacency conditions and Claim 3, x_4 has no neighbor on C_0 different from x_3 . Let $C'' = C_0[x_3, x_6] \cup x_6x_5x_4x_3$. By Claim 2, x_4 is of degree 3. Hence it has a neighbor in $\text{int}(C'')$ and $\text{out}(C'') \neq \emptyset$. By Claim 3, $|C''| \geq 12$. It follows that $|f'_0| \geq 13$, a contradiction.
- (4) Assume that $|C_0 \cap C_f| = 6$. Assume that $C_0 \cap C_f = \{x_5, x_6, x_7, x_1, x_2, x_3\}$. Similarly, x_4 has no neighbor on C_0 different from x_3 and x_5 . Let $C'' = C_0[x_3, x_5] \cup x_5x_4x_3$. By Claim 2, x_4 is of degree 3. Hence it has a neighbor in $\text{int}(C'')$ and $\text{out}(C'') \neq \emptyset$. By Claim 3, $|C''| \geq 12$. It follows that $|f'_0| \geq 15$, a contradiction.
- (5) Assume that $|C_0 \cap C_f| = 7$. Since $G[V(f_0)]$ is chordless, this implies that $f_0 = f$, contradicting Claim 5.

Finally, observe that $c(G') = c(G)$ and $\sigma(G') < \sigma(G)$. Hence we can conclude that, by minimality of G , we can extend the precoloring ϕ' to the whole graph G' which gives an extension of the precoloring ϕ to G : set $\forall x \in V(G) \setminus \{x_1, x_4\}$, $\phi(x) = \phi'(x)$ and $\phi(x_1) = \phi(x_4) = \phi'(x')$.

This contradiction completes the proof of [Claim 8](#). \square

Hence, the counterexample G to [Lemma 1](#) contains no faces of size 4 to 7 and $|f_0| = 3, 8, 9, 10, 11$. If $8 \leq |f_0| \leq 11$, we can apply [Theorem 4](#) and extend the coloring of $G[V(f_0)]$ to the whole graph, a contradiction. Now if $|f_0| = 3$, then by [Theorem 1](#), G is 3-colorable and hence by permuting the colors, we can extend the coloring of $G[V(f_0)]$ to the whole graph, a contradiction.

This completes the proof of [Lemma 1](#).

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